

Alaska Unclaimed Property Securities Registration & Deposit Instructions

Your report is not complete until you provide evidence the property was registered and transferred into the State of Alaska Unclaimed Property's (Alaska UP) name or account. The required evidence depends on the type of security and how it will be delivered to the State. Two days prior to delivery, you **MUST** E-mail your intent to deliver to Alaska's UP custodian - **Avenu Insights & Analytics** – see instructions below.

All Book Entry eligible shares **MUST** be delivered through DTC, DRS or DWAC (if not DTC participant) as follows:

DTC # 901

Agent Bank #26500

Reference: State of Alaska UP

Acct # 118848

Two business days prior to actual delivery:

State of Alaska UP requires pending securities to be listed on an Excel document. The Excel document shall include issue name, cusip number, number of shares, and the delivering party's DTC participant number or the delivering party's information.

Please email the Excel list to:

Alaska UP custodian Avenu Insights & Analytics upch.custody@avenoinsights.com AND
Alaska UP office ucpholder@alaska.gov

Physical Certificates must stay with the HOLDER if ineligible for DTC, DRS or DWAC delivery methods:

State of Alaska UP Acct # **118848** FEIN # 92-6001185
The Depository Trust Company
570 Washington Blvd – 5th Fl
Jersey City, NJ 07310

Attn: BNY Mellon/Branch Deposit Dept - Acct #118848 State of Alaska UP

Please note: Physical certificates will be returned if eligible for delivery through DTC, DWAC, or DRS. If physical certificates are returned, penalties will be assessed if not corrected within 45 days.

Open-End Mutual Funds

Mutual Fund Accounts held for State of Alaska Unclaimed Property must be opened in advance. Avenu Insights & Analytics will provide the account numbers for all mutual funds to be transferred into the state's account. Please contact Avenu Insights & Analytics at upch.custody@avenoinsights.com to obtain the account numbers three (3) business days prior to attempting delivery.

Please send interested party statements for Open-End Mutual Fund accounts to the following:

Avenu Insights & Analytics.
Custody Department
100 Hancock Street, 10th Floor
Quincy, MA 02171

A confirmation statement showing ‘State of Alaska Unclaimed Property’ as the registered owner of the account. The confirmation statement must accompany the initial Unclaimed Property Report, otherwise the report is flagged as non-compliant and incomplete.

Dividend Reinvestments Plans (DRP) & Closed-End Funds

Close accounts and forward whole shares via DTC (See DTC instructions above).
Fractional shares must be sold at the owner level and money applied to each individual owner.
Please add fractional share proceeds to the unclaimed property remittance, and owner property amounts on the Unclaimed Property Report.

For Foreign Securities

Please contact Alaska Unclaimed Property’s securities custodian for instructions:
Avenu Insights & Analytics
Email: upch.custody@avenuinsights.com

For Federal Reserve Securities

Please deliver as follows:
Federal Reserve Bank of New York
ABA#0210-0001-8
Bk of NYC/CUST
Account # 118848 – State of Alaska - UP